

July 25, 2018

NHPUC 30JUL 18PH 1:35

Executive Director N.H. Public Utilities Commission 21 South Fruit Street, Suite 10 Concord, NH 03301

To Whom It May Concern:

Enclosed is the initial application for registration as an Electric Load Aggregator for Electric Advisors, Inc. Currently, Electric Advisors provides energy broker services to 12 states and the District of Columbia for electricity and natural gas.

Please contact me if you have any questions or need additional information.

Sincerely,

Tracy Puglisi 240 752-9272

tracypuglisi@gmail.com







## **Electric Load Aggregation Application Form**

This form may be used to: (1) apply for initial registration as an electric load aggregator in New Hampshire, (2) apply for renewal of registration as an electric load aggregator in New Hampshire, and (3) notify the Commission of any changes to information in a previously-filed electric aggregator application form. This form is provided as a convenience for filing only; you are required to provide all information specified under Puc 2006.02 when applying for initial or renewal registration as an electric aggregator, but you are not required to use this form when doing so.

		Applicant's General Information
uc	Legal Name	
006.02(a)	Trade Name (d/b/a)	Electric Advisors, Inc.
	(if applicable)	
Puc		5272 River Road Suite 440
006.02(b)	Business Mailing Address	Bethesda, MD 20816
	Telephone Number	240-752-6136
	E-Mail Address	russell@electricadvisors.com
	Website Address (if applicable)	www.electricadvisors.com
Puc 2006.02(c)	Provide the name(s), title(s), be individual, or of the applicant's your response exceeds the spi	usiness address(es), telephone number(s), and e-mail address(es) of the applicant, if an principal(s),1 if the applicant is anything other than an individual. Use additional sheets if ace provided on the form.
	Name	Russell Lacey
	Title	CEO
	Business Mailing Address	5272 River Road Suite 440 Bethesda, MD 20816
	Telephone Number	240-752-6136
	E-Mail Address	russell@electricadvisors.com
	Name	Brian Lacey
	Title	Vice President
		5272 RIVER ROAD SUITE 440
	Business Mailing Address	BETHESDA, MD 20816
	Telephone Number	240-752-6866
	Email Address	brian@electricadvisors.com
	Name	
	Title	
	Business Mailing Address	
	Telephone Number	
	E-Mail Address	

<sup>1 &</sup>quot;Principals" means, for a corporation, any of its officers, directors, or controlling shareholders, for a limited liability company, any of its managers or controlling members, for a partnership, any of its general partners, and for any other business entity, any of its personnel exercising executive functions and any of its controlling equity owners.



		Customer Service Contact
Puc 2006.02(d)	Name	Brian Lacey
	Title	Vice President
	Telephone Number	240-752-6866
	Toll-Free Telephone Number (if available)	800-398-7200
	E-Mail Address	brian@electricadvisors.com

		Customer Complaints Contact
Puc 2006.02(e)(1)	Name	Russell Lacey
	Title	CEO
	Business Mailing Address	5272 River Road Suite 440 Bethesda MD 20816
	Telephone Number	240-752-6136
	E-Mail Address	russell@electricadvisors.com

		Regulatory Compliance Matters Contact
Puc 2006.02(e)(2)	Name	Tracy Puglisi
	Title	Compliance Manager
	Business Mailing Address	5272 RIVER ROAD SUITE 440 BETHESDA MD 20816
	Telephone Number	240-752-9272
	E-Mail Address	tracypuglisi@electricadvisors.com

	C	ommission Assessment Payments Contact
Puc 2006.02(e)(3)	Name	Tracy Puglisi
	Title	COMPLIANCE MANAGER
	Business Mailing Address	5272 RIVER ROAD SUITE 440 BETHESDA MD 20816
	Telephone Number	240-752-9272
	E-Mail Address	tracypuglisi@electricadvisors.com

Separate Attachments: Business Authority and Trade Name		
Puc 2006.02(f)	Provide, as a separate attachment, evidence of the applicant's authorization to do business in New Hampshire from the New Hampshire secretary of state by submitting either of the following:  (1) a recent printout of the applicant's listing on the N.H. Secretary of State website with the status "In Good Standing" o words of similar import; or	
	(2) a copy of a certificate from the N.H. Secretary of State's office stating that the applicant is authorized to do business in New Hampshire.	
Puc 2006.02(g)	Provide, as a separate attachment, evidence of the applicant's registration of the trade name, if any, to be used by the applicant in New Hampshire from the New Hampshire secretary of state by submitting either of the following:  (1) a recent printout of the applicant's trade name on the N.H. Secretary of State website with the status "Active" and indicating that the trade name is owned by the applicant; or	
	(2) a copy of a certificate from the N.H. Secretary of State's office indicating that the applicant has registered as doing	



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business under the trade name.		



Statements Regarding Applicant and its Principals	
Please respond to each of the following questions with either "Yes" or "No."	
Has applicant or any of its principals ever been convicted of any felony that has not been annulled by a court?	NO
civil, criminal, or regulatory sanctions or penalties imposed against it, him, or her pursuant to any state or federal consumer protection law or regulation?	NO
Has applicant or any of its principals, within the 10 years immediately prior to application, settled any civil, criminal, or regulatory investigation or complaint involving any state or federal consumer	NO
Is applicant or any of its principals currently the subject of any pending civil, criminal, or regulatory	NO
Has applicant or any of its principals been denied authorization to provide competitive electricity supply service or electric aggregation service in any other state or jurisdiction?	NO
If an affirmative answer is provided to any item above, then provide a detailed explanation of the occurrence and the related circumstances. Use additional sheets as needed.	
	Please respond to each of the following questions with either "Yes" or "No."  Has applicant or any of its principals ever been convicted of any felony that has not been annulled by a court?  Has applicant or any of its principals, within the 10 years immediately prior to application, had any civil, criminal, or regulatory sanctions or penalties imposed against it, him, or her pursuant to any state or federal consumer protection law or regulation?  Has applicant or any of its principals, within the 10 years immediately prior to application, settled any civil, criminal, or regulatory investigation or complaint involving any state or federal consumer protection law or regulation?  Is applicant or any of its principals currently the subject of any pending civil, criminal, or regulatory investigation or complaint involving any state or federal consumer protection law or regulation?  Has applicant or any of its principals been denied authorization to provide competitive electricity supply service or electric aggregation service in any other state or jurisdiction?  If an affirmative answer is provided to any item above, then provide a detailed explanation of the

Other States	
Puc 2006.02(i)  Please list other states or jurisdictions in which the applicant currently conducts business relating to the aggregation of electric customers.	MD, DC, PA, N NY, MA, RI, TX CT, IL, OH, DE MA

If the response to the preceding question is "Yes," please list the supplier(s) represented. Use additional sheet needed.	Please respond to the following question with either "Yes" or "No." Is the applicant representing any supplier interest?	NO
	If the response to the preceding question is "Yes," please list the supplier(s) represented. Use addit	tional sheets if

	Expected Marketing Start Date	
Puc 2006.02(k)	Provide the date upon which the applicant expects to commence marketing its services to customers in New Hampshire.	09/01/2018 Date

	Attestation and Signature	
Puc 2006.02(I) and (m)	BY SIGNING BELOW, THE APPLICANT REPRESENTATIVE CERTIFIES THAT IT HAS THE AUTHORITY TO FILE THE APPLICATION ON BEHALF OF THE AGGREGATOR AND ATTESTS THAT THE CONTENTS OF THE APPLICATION ARE TRUTHFUL, ACCURATE, AND COMPLETE.	
	Signature of the applicant or its authorized representative	07/25/2018 Date
	Name: RUSSELL LACEY	
	Title: CEO	

Filing Instructions
Mail an original and two paper copies of this form and all separate attachments to:     Executive Director, NHPUC, 21 South Fruit St., Suite 10, Concord, NH 03301
E-mail a PDF of this form and all separate attachments to:     Executive.Director@puc.nh.gov



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# State of New Hampshire Department of State

## CERTIFICATE OF AUTHORITY OF

### ELECTRIC ADVISORS, INC.

The Secretary of State of the State of New Hampshire hereby certifies that an Application of **ELECTRIC ADVISORS, INC.** for a Certificate of Authority to transact business in this State, duly signed pursuant to the provisions of the New Hampshire Business Corporation Act, has been received in this office.

ACCORDINGLY the undersigned, by virtue of the authority vested in him by law, hereby issues this Certificate of Authority to **ELECTRIC ADVISORS**, **INC**. to transact business in this State under the name of **ELECTRIC ADVISORS**, **INC**, and attaches hereto a copy of the Application for such Certificate.

Business ID: 799816



IN TESTIMONY WHEREOF,

I hereto set my hand and cause to be affixed the Seal of the State of New Hampshire, this 25th day of July 2018 A.D.

William M. Gardner Secretary of State